



Contents lists available at ScienceDirect

## Journal of Discrete Algorithms

[www.elsevier.com/locate/jda](http://www.elsevier.com/locate/jda)Improved approximation algorithms for the single-sink buy-at-bulk network design problems <sup>☆</sup>Raja Jothi <sup>a,\*</sup>, Balaji Raghavachari <sup>b</sup><sup>a</sup> Biostatistics Branch, National Institute of Environmental Health Sciences, National Institutes of Health, Research Triangle Park, NC 27709, USA<sup>b</sup> Department of Computer Science, University of Texas at Dallas, Richardson, TX 75083, USA

## ARTICLE INFO

## Article history:

Received 4 November 2006

Accepted 19 December 2008

Available online 31 January 2009

## Keywords:

Single-sink buy-at-bulk

Network design

Approximation algorithms

Randomized algorithms

Combinatorial optimization

## ABSTRACT

Consider a given undirected graph  $G = (V, E)$  with non-negative edge lengths, a root node  $r \in V$ , and a set  $D \subseteq V$  of demands with  $d_v$  representing the units of flow that demand  $v \in D$  wishes to send to the root. We are also given  $K$  types of cables, each with a specified capacity and cost per unit length. The *single-sink buy-at-bulk* (SSBB) problem asks for a low-cost installation of cables along the edges of  $G$ , such that the demands can simultaneously send their flow to root  $r$ . The problem is studied with and without the restriction that the flow from a node must follow a single path to the root. We are allowed to install zero or more copies of a cable type on each edge. The SSBB problem is NP-hard. In this paper, we present a 153.6-approximation algorithm for the SSBB problem improving the previous best ratio of 216. For the case in which the flow is splittable, we improve the previous best ratio of 76.8 to  $\alpha_K$ , where  $\alpha_K$  is less than 67.94 for all  $K$ . In particular,  $\alpha_2 < 17.7$ ,  $\alpha_3 < 23.2$ ,  $\alpha_4 < 28.8$ , and  $\alpha_5 < 34.3$ .

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## 1. Introduction

Consider a given undirected graph  $G = (V, E)$  with non-negative edge lengths, a root node  $r \in V$ , and a set  $D \subseteq V$  of demands with  $d_v$  representing the units of flow that demand  $v \in D$  wishes to send to the root. We are also given  $K$  types of cables, each with a specified capacity and a cost per unit length. The cost per unit capacity per unit length of a high-capacity cable is typically less than that of a low-capacity cable, reflecting “economy of scale”. In other words, it is cheaper to buy a cable of larger capacity than many cables (adding upto same capacity) of smaller capacity. The extensively studied *single-sink buy-at-bulk* (SSBB) problem, also known as the *single-sink edge installation* problem, asks for a low-cost installation of cables along the edges of  $G$ , such that the demands can simultaneously send their flows to root/sink  $r$  under the restriction that the flow from a node must follow a single path to the sink, i.e., the flow from a node to the root is *unsplittable*. We are allowed to install zero or more copies of a cable type on each edge. By *divisible* SSBB (DSSBB) problem, we refer to the version of the SSBB problem in which the flow is divisible/splittable.

The SSBB problem has applications in the hierarchical design of telecommunication networks, in which the traffic from a source must follow a single path to the sink. The DSSBB problem has its own applications: a classic application would be that of routing oil from several oil wells to a major refinery [11].

The *buy-at-bulk* network design problem was introduced by Salman et al. [11]. They showed that the problem is NP-hard through a simple reduction from the Steiner tree problem. The problem remains NP-hard even when only one cable

<sup>☆</sup> Preliminary version of this paper appeared in Proc. SWAT 2004 [R. Jothi, B. Raghavachari, Improved approximation algorithms for the single-sink buy-at-bulk network design problems, in: Proc. 9th Scandinavian Workshop on Algorithm Theory (SWAT), 2004, pp. 336–348. [8]].

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type is available. They also presented a  $O(\log n)$ -approximation algorithm for the SSBB problem in Euclidean graphs. For problem instances in general metric spaces, Awerbuch and Azar [1] presented a  $O(\log^2 n)$ -approximation algorithm. Their algorithm works even for multi-root version of the problem. Bartal's tree embeddings [2] can be used to improve their ratio to  $O(\log n \log \log n)$ . The ratio can be improved further to  $O(\log n)$  using Fakcharoenphol et al.'s improved results for tree embeddings [3]. Garg et al. [5] presented an  $O(K)$ -approximation algorithm based on LP-rounding. Guha, Meyerson and Munagala [6] presented the first constant-factor approximation algorithm, whose ratio was estimated to be around 2000 by Talwar [12]. In the same paper, Talwar presented an LP-based rounding algorithm with an improved ratio of 216.

Recently, Gupta, Kumar and Roughgarden [7] presented a simple and elegant 76.8-approximation<sup>1</sup> algorithm for the SSBB problem. But unfortunately, their approach does not guarantee that the flow from a node follow a single path to the sink. In other words, their ratio of 76.8 holds for the DSSBB problem, but not for the SSBB problem. That leaves Talwar's ratio of 216 as the current best for the SSBB problem.

For the closely related *Capacitated Minimum Steiner Tree* (CMStT) problem with just one cable type, in which the final solution is required to be a minimum cost tree network, the current best approximation ratio is  $\gamma\rho + 2$ , due to Jothi and Raghavachari [9], where  $\rho$  is the best achievable approximation ratio for the minimum Steiner tree problem, and  $\gamma$  is the inverse Steiner ratio.

In this paper, we present a 153.6-approximation algorithm for the SSBB problem. We also propose a modification to Gupta et al.'s DSSBB algorithm that reduces the ratio from 76.8 to  $\alpha_K$ , where  $\alpha_K$  is less than 67.94 for all  $K$ . In particular,  $\alpha_2 < 17.7$ ,  $\alpha_3 < 23.2$ ,  $\alpha_4 < 28.8$ , and  $\alpha_5 < 34.3$ . At the time this paper was in review, Grandoni and Italiano [4] have shown that Gupta et al.'s DSSBB algorithm actually provides a 64.8 approximation. They have also shown a much better 24.92 approximation ratio for the DSSBB problem using a simpler algorithm based on [7].

## 2. Preliminaries

Let  $G = (V, E)$  be the input graph with  $D \subseteq V$  denoting the set of demands. We use the terms vertices and nodes interchangeably. Also, depending upon the context, we use the term “demand” to denote a vertex or the flow out of it. The term “weight” is exclusively used to denote the flow out of a vertex. Let  $c_e$  denote the length of edge  $e$ . We also use  $c_{xy}$  to denote the length of an edge connecting nodes  $x$  and  $y$ . We use the metric completion of the given graph. Let  $u_i$  and  $\sigma_i$  denote the capacity and cost per unit length of cable type  $i$ . We define  $\delta_i = \sigma_i/u_i$  to be the “incremental cost” of using cable type  $i$ . The value of  $\delta_i$  can also be interpreted as the cost per unit capacity per unit length of cable type  $i$ . Let us assume that each  $u_i$  and  $\sigma_i$  (and by definition  $\delta_i$ ) is a power of  $1 + \epsilon$ ,  $\epsilon > 0$ , which can be enforced by rounding each capacity  $u_i$  down to the nearest power of  $1 + \epsilon$ , and each  $\sigma_i$  upto the nearest power of  $1 + \epsilon$ . This assumption is not without loss of generality, and can be accounted by losing a factor of  $(1 + \epsilon)^2$  in the approximation ratio. We will choose  $\epsilon$  later. This idea of rounding is adapted from [7], in which they used powers of 2, thus effectively choosing  $\epsilon$  to be 1.

The following properties on the costs and capacities of cable types have been known [6,7]. Without loss of generality, assume that the cables are ordered such that  $u_i < u_j$  and  $\sigma_i < \sigma_j$  for all  $i < j$ . Note that if  $u_i \leq u_j$  and  $\sigma_i \geq \sigma_j$ , then we can eliminate cable type  $i$  from consideration. We can also assume that  $u_1 = \sigma_1 = 1$ , as this can be obtained by appropriate scaling, though it may leave non-integer weights at vertices. Since the cable costs reflect economy of scale, for each  $j < k$ ,

$$\frac{\sigma_k}{u_k} < \frac{\sigma_j}{u_j}. \quad (1)$$

The fact that  $\delta_j = \sigma_j/u_j$  is a power of  $1 + \epsilon$  implies that  $\delta_{j+1} \leq \delta_j/(1 + \epsilon)$  for all  $j$ . Let  $g_k = \frac{\sigma_{k+1}}{\sigma_k} u_k$ . By Eq. (1),

$$1 = u_1 < g_1 < u_2 < g_2 < \dots < u_K < g_K = \infty.$$

Since  $\sigma_i$  is a power of  $1 + \epsilon$  for any  $i$ , and  $\sigma_{j+1} > \sigma_j$ , using Eq. (1) we get,

$$\frac{u_{j+1}}{u_j} > 1 + \epsilon.$$

Let OPT denote an optimal solution with cost  $C^* = \sum_j C^*(j)$ , where  $C^*(j)$  is the amount paid for cable type  $j$  in OPT. We state the following lemma and its proof from [7], as its understanding is crucial for an easier understanding of our algorithms.

**Lemma 2.1** (Redistribution Lemma [7]). *Let  $T$  be a tree rooted at  $r$  with each edge having capacity  $U$ . For each vertex  $j \in T$ , let  $w(j) < U$  be the weight located at  $j$  with  $\sum_j w(j)$  a multiple of  $U$ . Then there is an efficiently computable (random) flow on the tree that redistributes weights without violating edge capacities, so that each vertex receives a new weight  $w'(j)$  that is either 0 or  $U$ . Moreover,*

$$\Pr[w'(j) = U] = w(j)/U.$$

<sup>1</sup> Although the ratio reported in [7] is 72.8, the ratio must actually be 76.8 (A. Gupta and T. Roughgarden, personal communication).

**Proof.** Replace each edge in  $T$  with two oppositely directed arcs. Let  $Y$  be a value chosen uniformly at random from  $(0, U]$ . Take an Euler tour of the vertices in  $T$ , starting from  $r$  and visiting all the other vertices  $\{j_1, j_2, \dots, j_m\}$  in  $T$ . Let a counter  $Q$  be set to 0 initially. On visiting vertex  $j_k$ , we update  $Q \leftarrow Q + w(j_k)$ . Also, let  $Q_{old}$  and  $Q_{new}$  be the value of  $Q$  just before and after visiting  $j_k$ , respectively. On visiting  $j_k$ , if  $xU + Y \in (Q_{old}, Q_{new}]$  for some integer  $x$ , then “mark”  $j_k$  and ask that it sends  $Q_{new} - (xU + Y)$  weight to the next marked vertex lying clockwise on the tour. In the other case, we ask that  $j_k$  sends all its weight to the next marked vertex lying clockwise on the tour. This construction ensures that the maximum flow on a directed edge is at most  $U$ , and that the probability that a vertex  $j$  gets marked is  $w(j)/U$ , which is exactly the probability that  $j$  receives a weight of  $U$ .

Since we are working on a directed tour, the cost of this redistribution is at most twice the cost of the tree  $T$ , as an edge in  $T$  was replaced by two oppositely directed arcs. But, using simple flow canceling argument, one can show that one copy of the edges in  $T$  is sufficient for such a redistribution.  $\square$

### 3. Algorithms

We first present our main result—an approximation algorithm for the SSBB problem that achieves an approximation ratio of 153.6. In Section 3.2, we propose a minor modification to Gupta et al.’s DSSBB algorithm that reduces the ratio from 76.8 to  $\alpha_K$ , where  $\alpha_K$  is less than 67.94 for all  $K$ . In particular,  $\alpha_2 < 17.7$ ,  $\alpha_3 < 23.2$ ,  $\alpha_4 < 28.8$ , and  $\alpha_5 < 34.3$ .

#### 3.1. The SSBB problem

Let  $G = (V, E)$  be the input graph with root  $r \in V$ , and let  $D \subseteq V$  be the set of demands with  $d_j$  denoting the weight at  $j$ . Recall that the vertices in  $D$  may have non-integer weights because of the scaling done to ensure  $u_1 = \sigma_1 = 1$ . To guarantee integral demands at the vertices, Gupta et al. [7] first construct  $T_0$ , a  $\rho$ -approximate Steiner tree spanning  $D$ , using cables of capacity  $u_1$ . They then redistribute the demands using the construction in the proof of Lemma 2.1 with  $U = u_1$  to collect integral demands at some subset of vertices in  $D$ . Later, vertices of integral demands are duplicated so that the demands at vertices are unit weight. Because of this redistribution and duplication, there is no guarantee that the demand from a vertex in the input graph travels along a single path to the sink, as the demand at a vertex may have been split during the redistribution and/or duplication process. In other words, this redistribution strategy (Lemma 2.1) does not guarantee the indivisibility of flow required for SSBB problem.

In our algorithm below, we make sure that the demand at a vertex follows a single path to the sink. Like in [7], we set  $\epsilon = 1$ , which makes  $u_i$  and  $\sigma_i$  (and by definition  $\delta_i$ ) powers of 2. This gives us the flexibility of generating  $u_{i+1}$  weighted nodes from integral number of  $u_i$  weighted nodes, thereby eliminating splitting of demands. First, we introduce a new “redistribution” procedure, which is pivotal in guaranteeing that the flow from a source follows a single path to the sink. Recall that Lemma 2.1 redistributes the weights uniformly at random, and the probability that a vertex receives a weight of  $U$  is proportional to its weight.

**Lemma 3.1.** *Either there exists at least one arc with zero flow in the directed tour  $t$  constructed in the procedure of Lemma 2.1, or there exists a redistribution (using Lemma 2.1), with zero flow on at least one arc of the directed tour, which produces the exact same assignment of weights.*

**Proof.** The proof is complete if the first part of the lemma were true. Suppose it were not true. Let  $t$  be the directed tour in the procedure of Lemma 2.1, which was used to redistribute the weights. Let  $m > 0$  be the smallest flow across a directed edge in  $t$ . Note that  $m \leq U$ . For each directed edge in  $t$ , subtract  $m$  from the flow on that edge. After this, we are guaranteed that at least one edge in  $t$  has a zero flow. The fact that this post-processing does not alter the distribution of weights completes the proof.  $\square$

**Lemma 3.2.** *There exists a redistribution using the procedure of Lemma 2.1 with  $Y = U$ , which produces the exact same assignment of weights as that with  $Y$  that is chosen uniformly at random from  $(0, U]$ .*

**Proof.** Let  $t$  be the directed tour in the proof of Lemma 2.1. As per Lemma 3.1, there exists at least one edge in  $t$  with zero flow. Let  $e$  be an edge, from vertex  $p$  to vertex  $q$ , in  $t$  with zero flow. Without loss of generality, we can assume that  $p \in D$ . As per the construction in the proof of Lemma 2.1,  $p$  must be one of the vertices that must have been marked. Since the flow on  $e$  is zero, it must be that  $Q_{new}$  at  $p$  is equal to  $xU + Y$  for some integer  $x$ , which means that vertex  $g$  marked just after  $p$  must either have  $(x+1)U + Y \in (Q_{old} \text{ at } g, Q_{new} \text{ at } g]$  or  $Y \in (Q_{old} \text{ at } g, Q_{new} \text{ at } g]$ . This means that  $Q_{new}$  at  $g$  is at least  $U$  greater than  $Q_{new}$  at  $p$ .

Recall from the proof of Lemma 2.1 that the vertices in  $t$  are visited starting from  $r$ . We now show that a construction with  $Y = U$  on  $t$ , visiting vertices starting from  $q$  (instead of  $r$ ) produces the exact same assignment of weights as that with  $Y$  that is chosen uniformly at random from  $(0, U]$ . From the above discussion, since  $Q_{new}$  at  $g$  is at least  $U$  greater than  $Q_{new}$  at  $p$ , and the flow on  $e$  is zero, it can be seen that the construction with  $Y = U$  on  $t$  and visiting vertices starting from  $q$  produces the exact same outcome as what is desired, i.e., the set of vertices that were assigned a weight of  $U$  will exactly be the same as that marked in the proof of Lemma 2.1.  $\square$

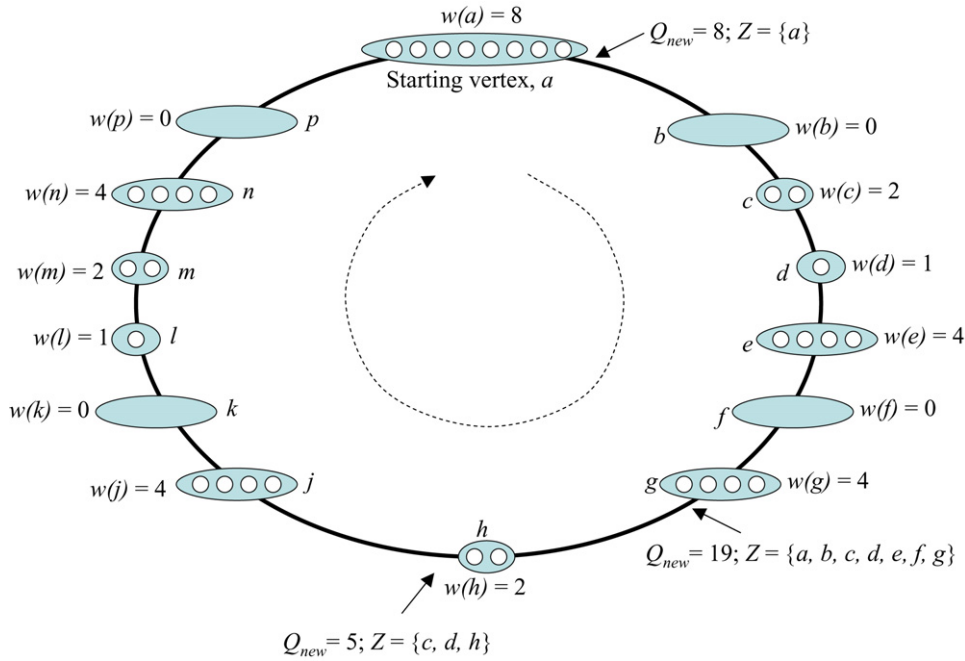


Fig. 1. Redistribution procedure for the SSBB algorithm (Lemma 3.3).

The following lemma is easier than it appears, and differs from Lemma 2.1 in the following two aspects: (i) weights of vertices in  $T$  are powers of 2, and (ii) demand from a vertex is not split.

**Lemma 3.3.** Let  $T$  be a tree with each edge having capacity  $U$ , a power of two. For all  $v$  in tree  $T$ , let  $w(v)$  be a power of 2 with  $w(v) < U$ . Then there is an efficiently computable flow on  $T$  that redistributes the weights, respecting the cable capacity and without splitting a vertex weight, so that each vertex receives a new weight  $w'(j)$  that is either 0 or  $U$ . Moreover,

$$\Pr[w'(j) = U] = w(j)/U.$$

**Proof.** Using the argument in Lemma 3.2, we find a starting vertex from which we start visiting the vertices in the directed tour (obtained by replacing each edge in  $T$  with two oppositely directed arcs) in clockwise direction with  $Y = U$ . The value of  $Q$  is set to 0 initially. Increment  $Q$  by  $w(j)$  on visiting vertex  $j$ . Let  $Q_{old}$  and  $Q_{new}$  be the value of  $Q$  just before and after visiting a vertex, respectively. Also, maintain set  $Z$  which is initially empty. On visiting vertex  $j$ , add  $j$  to  $Z$ , and if for some integer  $x$ ,  $xU \in (Q_{old}, Q_{new}]$ , then we do the following: (i) we find  $W \subseteq Z$  such that  $\sum_{i \in W} w(i) = Q_{new} - xU$ , and (ii) ask the vertices in  $Z \setminus W$  to send their weights to  $j$  while removing them from  $Z$  (refer Fig. 1).

We now show how to find  $W \subseteq Z$ . Let  $g$  be the first vertex at which  $Q_{new} \geq U$ . The proof of Lemma 3.2 would have marked  $g$  and asked  $g$  to send  $Q_{new} - U$  to the next marked vertex lying clockwise on the tour. We show that there exists a  $W \subseteq Z$  such that  $Q_{new} - \sum_{i \in W} w(i) = U$ . This is the same as showing that there exists a set  $M \subseteq Z$  such that  $\sum_{i \in M} w(i) = U$ . Recall that no vertex in  $Z$  has a weight more than  $U$ . To show that there exists an  $M$ , all we need to do is the following. Merge two vertices  $a, b \in Z$  of same weight  $w$  into one vertex with weight  $2w$ . Since  $w$  is a power of 2, the weight of the new vertex remains a power of 2. Continue this merging process until (i) a vertex in  $Z$  is of weight  $U$  or (ii) no more merging is possible. While the former proves our claim, the latter is not possible as it is a contradiction to  $\sum_{i \in Z} w(i) \geq U$ , because  $\sum_{k=0}^i 2^k < 2^{i+1}$ . Once  $M$  is found,  $W = Z \setminus M$ . The vertices in  $W$  will be the sole contributors of the flow from  $g$  to the next vertex lying clockwise on the tour. This argument holds true for every vertex  $j$  at which  $xU \in (Q_{old}, Q_{new}]$  for some integer  $x$ . Notice that the probability that a vertex  $j \in T$  receives (gets assigned) a weight of  $U$  is  $w(j)/U$ , which is exactly what we needed, as per the lemma statement.

The proof will be complete once we show that the redistribution can be done on  $T$  rather than on the directed arcs of the Euler tour on  $T$ . Consider a leaf node  $l \in T$  that is in the Euler tour. Let  $h \in T$  be the node that was visited just before and after  $l$  ( $h$  is  $l$ 's parent in  $T$ , which is rooted at  $r$ ). We use  $x'$  and  $x''$  to represent vertex  $x \in T$  in the directed Euler tour, with the tour entering  $x'$  and leaving  $x''$ . Let  $f_{h'l'}$  and  $f_{l''h''}$  be the flows on arcs from  $h'$  to  $l'$  and  $l''$  to  $h''$ , respectively (refer Fig. 2). During the redistribution process, if  $l$  had sent all its weight to some vertex—lying clockwise on the tour—that was assigned a weight of  $U$ , then ask  $h'$  to send the flow  $f_{h'l'}$  directly to  $h''$  instead of sending it through  $l$ . If  $l$  was assigned a weight of  $U$  in our redistribution process, then ask the vertices in  $W$  to reroute their flow bypassing  $l$ , i.e., make the flow, from vertices in  $W$ , coming into  $h'$  go directly to  $h''$  instead of routing it through  $l$ . Remove  $l$  from  $T$ , and repeat this process

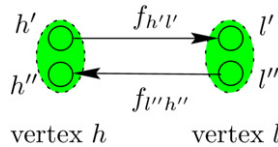


Fig. 2.  $l$  is a leaf node with  $h$  being its parent in  $T$ .

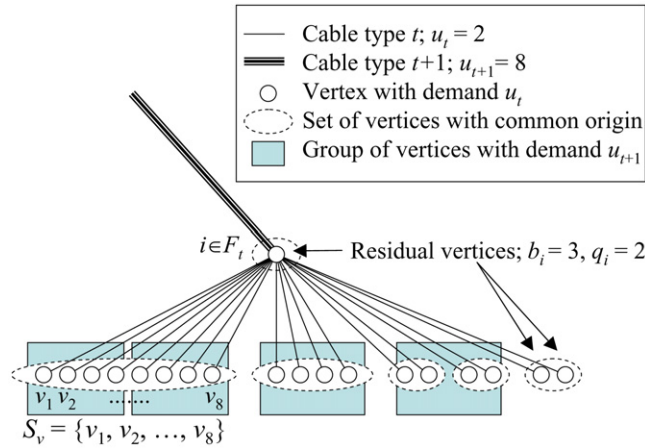


Fig. 3. Steps performed at stage  $t$  of the SSBB algorithm.

for all leaf nodes in  $T$ . Note that whenever a leaf node is removed from  $T$ , the flow on the tree edge connecting that node to  $T$  is at most  $U$ . This process stops when there is just one node left in  $T$ . This completes the proof of Lemma 3.3.  $\square$

Now that we have a new redistribution procedure in place, which requires that the demands are powers of 2, we first round the demands (of the vertices in  $D$ ) upto the nearest powers of 2, and solve the problem for these new (rounded) weights. Although this means that we might install at most twice the required cable capacities, thereby losing a factor of 2 in the approximation ratio, we will have enough cable capacities installed so as to route the original demands without having to split them.

Construct a  $\rho$ -approximate Steiner tree spanning  $D$ , using cables of capacity  $u_1$ , and redistribute the demands using the construction in the proof of Lemma 3.3 with  $U = u_1$  to collect *integral* demands at some subset of vertices in  $D$ . Later, vertices of integral demands are duplicated so that the demands at vertices are unit weight. Now, replace vertex  $v \in D$  of weight  $w(v)$  by  $w(v)$  unit weight vertices. Let  $S_v = \{v_1, \dots, v_{w(v)}\}$  be the set of unit weight vertices that represent  $v$ . We call  $v$  to be the *origin* of  $v_i$ ,  $i = 1$  to  $w(v)$ . Our algorithm will ensure that the unit weight demands having a common origin travel together—along a single path—towards the sink.

The algorithm given below proceeds along the same lines as that in [7]. At the beginning of stage 1,  $D_1 = D$  with each demand  $j \in D$  having weight  $d_j = 1 = u_1$ . In general, at the beginning of stage  $t$ ,  $D_t$  is a set of  $|D|/u_t$  vertices, each with demand  $u_t$ . During stage  $t$ , our algorithm (presented below) uses the value  $u_{t+1}$  as the “aggregation threshold” to combine several demands of weight  $u_t$  into a single demand of weight  $u_{t+1}$ . The cables required to perform such an aggregation are bought by the algorithm. The demand will reach the root at the end of the algorithm. The final solution is then given by the union of all the paths used in the aggregation stages. Given below are the steps performed at state  $t$  of the algorithm.

- S1. Mark each demand  $v$  in  $D_t$  with probability  $p_t = u_t/g_t$ , and let  $D_t^*$  be the marked demands.
- S2. Construct a  $\rho$ -approximate Steiner tree  $T_t$  on  $F_t = D_t^* \cup \{r\}$ . Install a cable of type  $t+1$  on each edge of this tree.
- S3. For each vertex  $j \in D_t$ , send its weight  $w(j)$  to the nearest member of  $F_t$  using cables of type  $t$  (refer Fig. 3). If two vertices have a common origin, ensure that both vertices send their weight to the same  $i \in F_t$ , as this guarantees that vertices having a common origin travel together, thus satisfying the indivisibility constraint. Let  $w_t(i)$  be the weight collected at  $i \in F_t$ .
- S4. For each  $i \in F_t$ , order the vertices that sent their weight of  $u_t$  to  $i$  such that the vertices in  $S_v$  are ordered before the vertices in  $S_u$ , if  $|S_v| \geq |S_u|$ .  
Divide the vertices in the ordered set into groups of  $u_{t+1}/u_t$  vertices, starting from the first vertex, leaving behind  $b_i = (\frac{w_t(i)}{u_t} \bmod \frac{u_{t+1}}{u_t})$  residual vertices at the end. Send back the weight of  $u_{t+1}$  emanating from each group of  $u_{t+1}/u_t$  vertices back from  $i$  to a random member of that group, buying new cables of type  $t+1$ . Since  $u_t, u_{t+1}$  and  $|S_k|$ , for all  $k$ , are powers of 2 by definition, our construction ensures the following: (i) set  $S_k$ , with  $|S_k| \geq u_{t+1}/u_t$ , is divided into



$p = |S_k|/u_{t+1}$  groups, and (ii) set  $S_k$ , with  $|S_k| < u_{t+1}/u_t$  belongs to exactly one group. That is, vertices with common origin travel together.

- S5. For each  $i \in F_t$ , divide the  $b_i$  residual vertices into  $q_i$  sets  $R_i^1, \dots, R_i^{q_i}$ , with each set containing vertices having common origin, and the weight  $w(R_i^j)$  of a set  $R_i^j$  being the number of vertices it contains. Let  $F'_t = \emptyset$  initially. For each  $i \in F_t$ , if  $b_i \geq 1$ , then add  $q_i$  copies of  $i$  into  $F'_t$ , one for each set (origin), with each copy carrying the weight of the sum of the vertex weights in the set that it represents. Observe that the weights of the vertices in  $F'_t$  are powers of 2. Since the vertices in  $F'_t$  are mere copies of that in  $F_t$ ,  $T_t$  spans all the vertices in  $F'_t$ . Use the procedure of Lemma 3.3 on  $T_t$  spanning  $F'_t$  with  $U = u_{t+1}$  to aggregate residual weights into groups of weight exactly  $u_{t+1}$  in a subset of vertices in  $F'_t$ . During the redistribution procedure, for every  $i \in F_t$ , ensure that its copies in  $F'_t$  are visited consecutively. This, along with the fact that  $u_t \sum_{j=1}^{q_i} w(R_i^j) < U = u_{t+1}$  for every  $i \in F_t$  ensures that at most one copy of  $i$  in  $F'_t$ , representing  $i \in F_t$ , gets assigned a weight of  $u_{t+1}$ . Transform this redistribution among the vertices in  $F'_t$  into a redistribution among the vertices in  $F_t$  by assigning a weight of  $u_{t+1}$  to vertex  $i \in F_t$  if one of  $i$ 's copy was assigned a weight of  $u_{t+1}$  in  $F'_t$ , and 0 otherwise. Notice that the probability that a vertex  $i \in F_t$  is assigned a weight of  $u_{t+1}$  still depends on  $i$ 's weight (residual weight, which is  $b_i u_t$ ). For every  $i \in F_t$ , that receives a weight of  $u_{t+1}$ , choose a vertex  $v \in b_i$  uniformly at random, and send the weight of  $u_{t+1}$  from  $i$  to  $v$  using cables of type  $t + 1$ .

When  $t = K$ , we set the probability for non-root vertices  $p_K = 0$ , which implies that no vertex in stage  $t = K$  is marked. The weights from all the vertices in  $D_K$  are directly routed to  $r$  using cables of capacity  $K$ . The approximation analysis for our SSBB algorithm is exactly the same as that for the Gupta et al.'s DSSBB algorithm [7]. For the sake of completeness, we present the lemmas from [7] which we used to arrive at the approximation ratio.

**Lemma 3.4.** (See [7].) For every non-root vertex  $j \in D$  and stage  $t$

$$\Pr[j \in D_t] = 1/u_t.$$

**Lemma 3.5.** (See [7].) Let  $T_t^*$  be the optimal Steiner tree on  $F_t$ , and  $c(T_t^*) = \sum_{e \in T_t^*} c_e$ . Then

$$\mathbf{E}[c(T_t^*)] \leq \sum_{s>t} \frac{1}{\sigma_s} C^*(s) + \sum_{s \leq t} \frac{1}{\delta_s \cdot g_t} C^*(s). \quad (2)$$

The proof of the following lemma is given as Lemma 4.4 in [7] with  $\epsilon = 1$ .

**Lemma 3.6.** (See [7].) The expected cost incurred in stage  $t$  is at most  $(2 + \rho + \frac{2}{1+\epsilon})$  times  $\sigma_{t+1} \mathbf{E}[c(T_t^*)]$ , where  $T_t^*$  is the optimal Steiner tree on  $F_t$ .

**Theorem 3.1.** Our algorithm for the SSBB problem guarantees an approximation ratio of 153.6.

**Proof.** We lose a factor of 2 from rounding up the weights of vertices to the nearest powers of 2. By rounding the costs and capacities of cables to powers of  $1 + \epsilon = 2$  (for  $\epsilon = 1$ ), we lose additional factor of 4 in the approximation ratio. We incur a cost of  $\rho \sum_j C^*(j)/\sigma_j$  for the construction of Steiner tree  $T_0$ . The total cost  $C_s$  incurred during the algorithm proper is obtained by substituting Lemma 3.6, and summing over all  $t$  for  $\epsilon = 1$ . This shows that the coefficient of  $C^*(s)$  is at most

$$2 \times (1 + \epsilon)^2 \left( 2 + \rho + \frac{2}{1 + \epsilon} \right) \times \left( \sum_{t=0}^{s-1} \frac{\sigma_{t+1}}{\sigma_s} + \sum_{t \geq s} \frac{\delta_t}{\delta_s} \right). \quad (3)$$

Since  $\sigma_t$  and  $\delta_t$  are powers of  $1 + \epsilon$ , the summations will be upper bounded by  $1 + 1/\epsilon$ . In the final stage, routing the demands directly to the sink has an expected cost of  $C^*$  as the demands are routed using the largest available cables (which are completely “full”), and the cost of such routing per unit of demand and unit of distance is the minimum possible by economies of scale. Thus, the cost of the final solution is at most

$$2 \times (1 + \epsilon)^2 \left[ \left( 2 + \rho + \frac{2}{1 + \epsilon} \right) \times 2 \left( 1 + \frac{1}{\epsilon} \right) + 1 \right] C^*, \quad (4)$$

which gives a ratio of 153.6 for  $\epsilon = 1$ . Here, we are using the current best Steiner tree approximation algorithm, which guarantees an approximation ratio of  $\rho = 1 + \ln(3)/2$  [10].  $\square$

### 3.2. The DSSBB problem

Gupta et al.'s [7] algorithm guarantees a 76.8 approximation ratio for the DSSBB problem. In their algorithm, they round the costs and capacities of cables to powers of 2, i.e.,  $\epsilon$  was set to 1. Although Gupta et al. were of the impression that their

ratio applies to the SSBB problem, unfortunately, their approach does not guarantee that the flow from a node follow a single path to the sink. Since the flow from a node could be split along the way to its sink anyway, instead of rounding up the costs and capacities to the powers of 2, one could rather generalize the rounding to the powers to  $1 + \epsilon$ , where  $\epsilon \leq 1$ . This minor modification to their algorithm improves the approximation ratio for the DSSBB problem.

**Theorem 3.2.** *The approximation ratio  $\alpha_K$  of our DSSBB algorithm is at most 67.94.*

**Proof.** The proof proceeds along the same lines as that in Theorem 3.1, except that since weights of vertices are not required to be powers of 2, we save a factor of 2. The cost of the final solution is at most

$$(1 + \epsilon)^2 \left[ \left( 2 + \rho + \frac{2}{1 + \epsilon} \right) \times 2 \left( 1 + \frac{1}{\epsilon} \right) + 1 \right] C^*, \quad (5)$$

which when optimized for  $\epsilon$  gives a ratio of 67.9369 for  $\epsilon \approx 0.553$ . Here, we are using the current best Steiner tree approximation algorithm, which guarantees an approximation ratio of  $\rho = 1 + \ln(3)/2$  [10].  $\square$

**Corollary 3.1.** *For a fixed  $K$ ,  $\alpha_2 < 17.7$ ,  $\alpha_3 < 23.2$ ,  $\alpha_4 < 28.8$ ,  $\alpha_5 < 34.3$ , and so on.*

**Proof.** By expanding the summations in Eq. (3) rather than bounding them by  $1 + 1/\epsilon$ , it can be easily shown that for a fixed  $K$ , there exists an  $\epsilon > 0$  for which the corollary can be mathematically verified.  $\square$

#### 4. Conclusion

In this paper, we presented a 153.6-approximation algorithm for the SSBB problem improving the previous best ratio of 216. For the case in which the flow is splittable, we improve the previous best ratio of 76.8 to  $\alpha_K$ , where  $\alpha_K$  is less than 67.94 for all  $K$ .

We believe that finding a reasonable lower bound for the SSBB problem may help in obtaining better approximation ratios. Our approach of analyzing the ratio, adapted from [7], may not be the best way to analyze the final ratio after all. It should be interesting to see whether our ratio of 153.6 for the SSBB problem can be reduced by a factor of 2 through a more careful analysis. An interesting variant of the SSBB problem would be one with an additional restriction that the final network be a tree, which would be a natural generalization of the *Capacitated Minimum Steiner Tree* (CMS<sub>ST</sub>) problem [9]. To our knowledge, there is no known approximation algorithm for this variant.

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